NHS Tayside has now considered your request dated 13 February 2019.

NHS Tayside wishes to advise you that there are exemptions applicable to the information requested. Please refer to the exemptions section of this correspondence.

**Extract from Request**

1. “Can you please tell me the number of times prone restraint has been used on patients in your health board’s psychiatric institutions between January 1 2016 and January 1 2019, broken down per year?
2. How many patients have been subject to prone restraint in the same time period, broken down per year?
3. Broken down by year, how many of these patients were restrained using the prone method:
   - Once
   - Between three and five times
   - Between 10 and 15 times
   - More than once but less than three times
   - Between five and 10 times
   - More than 15 times

4. How many times has rapid tranquillisation been used in the same period and again if possible on how many patients?
5. Broken down by year, how many of these patients were subject to rapid tranquillisation:
   - Once
   - Between three and five times
   - Between 10 and 15 times
   - More than once but less than three times
   - Between five and 10 times
   - More than 15 times”

**Clarification**

“Face down restraint is prone restraint.”

**Response**

1. Please see below the number of times prone restraint has been used on patients between 1st January 2016 and 31st December 2018.

<table>
<thead>
<tr>
<th>Year</th>
<th>Prone Restraint Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016</td>
<td>109</td>
</tr>
<tr>
<td>2017</td>
<td>169</td>
</tr>
<tr>
<td>2018</td>
<td>230</td>
</tr>
</tbody>
</table>

2. Please see below the number of patients that have been subject to prone restraint between 1st January 2016 and 31st December 2018.

<table>
<thead>
<tr>
<th>Year</th>
<th>Prone Restraint Used</th>
</tr>
</thead>
<tbody>
<tr>
<td>2016</td>
<td>18</td>
</tr>
<tr>
<td>2017</td>
<td>71</td>
</tr>
<tr>
<td>2018</td>
<td>104</td>
</tr>
</tbody>
</table>
3. Please see below the amount of times patients were restrained using the prone method between 1st January 2016 and 31st December 2018.

<table>
<thead>
<tr>
<th>Patients Restrained -</th>
<th>2016</th>
<th>2017</th>
<th>2018</th>
</tr>
</thead>
<tbody>
<tr>
<td>Once</td>
<td>7</td>
<td>18</td>
<td>21</td>
</tr>
<tr>
<td>More than once but less than three times</td>
<td>&lt;5</td>
<td>7</td>
<td>&lt;5</td>
</tr>
<tr>
<td>Between three and five times</td>
<td>&lt;5</td>
<td>5</td>
<td>&lt;5</td>
</tr>
<tr>
<td>Between five and 10 times</td>
<td>0</td>
<td>&lt;5</td>
<td>&lt;5</td>
</tr>
<tr>
<td>Between 10 and 15 times</td>
<td>0</td>
<td>0</td>
<td>&lt;5</td>
</tr>
<tr>
<td>More than 15 times</td>
<td>0</td>
<td>0</td>
<td>&lt;5</td>
</tr>
</tbody>
</table>

Where the values of statistics provided above fall below 5 but are greater than 0, these statistics are represented by ‘<5’. Due to the small numbers of patients involved further detail cannot be provided as disclosure of the information may lead to the identification of individuals.

4. NHS Tayside does not hold the information in the format requested.

5. Not applicable.


<table>
<thead>
<tr>
<th>Document Ref.</th>
<th>FOISA Exemption Applied</th>
<th>Justification</th>
</tr>
</thead>
<tbody>
<tr>
<td>IGTFOISA5970</td>
<td>Section 17 – Information not held.</td>
<td>NHS Tayside does not hold the information in the format requested.</td>
</tr>
<tr>
<td></td>
<td>Section 38 – Personal Information</td>
<td>Disclosure of information which would allow the identification of individuals would be in breach of confidentiality and Data Protection principles.</td>
</tr>
</tbody>
</table>
Under section 20 (1) of the Act, if you are dissatisfied with the way NHS Tayside has dealt with your request, you have a right to request a review of our actions and decisions in relation to your request, and you have a right to appeal to the Scottish Information Commission.

A request for an internal review must be made in writing no later than forty working days from receipt of this response and addressed to:

Tayside NHS Board Secretary
Tayside NHS Board Headquarters
Ninewells Hospital & Medical School
Dundee
DD1 9SY

If you are not content with the outcome of the internal review, you have the right to apply directly to the Scottish Information Commissioner for a decision. The Scottish Information Commissioner can be contacted at:

Scottish Information Commissioner
Kinburn Castle
Doubledykes Road
St Andrews
Fife
KY16 9DS

Or via the online appeal service: www.itpublicknowledge.info/Appeal

If you have any queries about this correspondence, please contact:

Information Governance Team
Maryfield House
30 Mains Loan
Dundee
DD4 7BT

Telephone - 01382 424413
E-mail: informationgovernance.tayside@nhs.net

Information Governance
NHS Tayside
12 March 2019